



CRS AND FATCA INDIVIDUAL SELF-CERTIFICATION FORM (SA)

1. Important Information

- The Tax Administration Act 28 of 2011 (adopting aspects of the U.S.A Foreign Account Tax Compliance Act (FATCA), and the OECD Common Reporting Standard (CRS) for Automatic Exchange of Financial Information) require us to collect certain information about investor’s tax arrangements.
- This form need only be completed by individuals or controlling persons.
- Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor’s investment, with SARS who may in turn share this information with other relevant tax authorities.
- Should there be any uncertainty with regards to how to complete this form we encourage you to seek independent tax advice.
- Various terms included in this form have been defined in the CRS and FATCA FAQ Sheet available on the following websites
 - CRS: www.oecd.org
 - FATCA: www.irs.gov

2. Identification of Individual Investor/Controlling Person

Title First name(s)

Initials Surname

Country of birth Town or city of birth

Identification type: South African Identity Document Passport Foreign Identity Document

Identification No.

Country issuing passport (if applicable) Expiry date of passport

Investment number(s) of the individual (all)

The rest of this section only needs to be completed by a person who is considered a controlling person of an entity.

Physical Address

Code

Postal Address

Code

3. Citizenship, Nationality and Country of Tax Residence

South African income tax reference number (if applicable)

Do you have tax liabilities or tax residence outside South Africa? Yes No If “Yes”, please complete Section 4 below.

Do you hold any citizenships or nationalities outside of South Africa, including US citizenship/are you a US person/US national?

Yes No If “No”, then Section 4 below does not need to be completed.

If you are a US citizen, a US Person, a US National, or US tax resident, please provide us with a completed IRS W-9 form.

Important notes on completing the rest of this form:

- If you are an individual, and have selected 'No' for both of the questions above, complete Section 6 below.
- If you are an individual, and have selected 'Yes' for either of the questions above, complete Sections 4 and 6 below.
- If you are a controlling person, and have selected 'No' for both of the questions above, complete Sections 5 and 6 below.
- If you are a controlling person, and have selected 'Yes' for either of the questions above, complete Sections 4, 5 and 6 below.

4. Investor/Controlling Person's Tax Residency

This section must be completed to indicate all countries where the individual is a tax resident and/or has tax responsibilities.

Country of tax residence	Tax No.	If you are unable to provide a Tax No., please provide reason*

*** Guide to reasons for not being able to obtain a Tax No.:**

- **Reason A:** The country/jurisdiction where the investor is resident does not issue a Tax No. to its residents.
- **Reason B:** Investor is unable to obtain a Tax No. or equivalent number. Explanation must be provided.
- **Reason C:** No Tax No. required (only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the Tax No. issued by such jurisdiction).

Please explain in the boxes below why you are unable to obtain a Tax No. if you selected Reason B above.

Country of tax residence/Country that issued Tax No.	Explanation of why you are unable to obtain Tax No.

5. Entity holding an investment in relation to which you are considered a controlling person

This section only needs to be completed when a person is considered a controlling person of an entity with an investment.

Name of entity

Registration number of entity

Investment number(s) for the entity

6. Declaration and Signature

I, the undersigned:

1. declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
2. undertake to immediately advise of any change in circumstances which affects the tax residency status of the individual identified in Section 1 and 2 of this form or causes the information contained herein to become incorrect or incomplete, and to provide a suitably updated self-certification and declaration within 90 days of such change in circumstances.
3. undertake to immediately advise of any change in personal details (e.g. current physical address, postal address etc.)
4. acknowledge that the information contained in this form and the information regarding the investor and any reportable investments may be provided to the South African Revenue Service (SARS), and exchanged with tax authorities of other country(s)/

jurisdiction(s) in which the investor may be tax resident in accordance with intergovernmental agreements to exchange financial account information.

Signature

Full name(s) and surname

Capacity in which individual signs*

Date

D	D	M	M	Y	Y	Y	Y
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** If the person signing the form is not the investor, or is a controlling person, he/she must indicate the capacity in which they are signing the declaration e.g. trustee/member and provide supporting documentation thereof.*